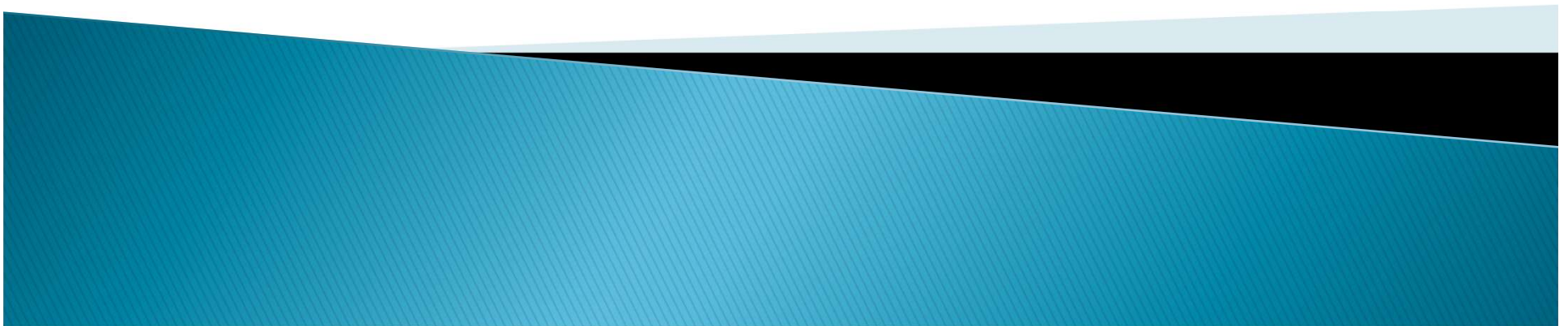# Managing NUPIC

NUPIC Vendor Conference
June 2019
John Larson, NPPD

What everyone needs to know

- NUPIC was created in 1989, to address supplier audit deficiencies identified by the NRC, and addressed by the industry in the "Comprehensive Procurement Initiative" (CPI)

- NUPIC saves utilities and suppliers a lot of money

- NUPIC is as effective as we make it – ATLs are direct utility employees

- Each NUPIC Member gets a "report card" that represents accountability to the organization

Foundational Documents

- Comprehensive Procurement Initiative
- GL89-02
- GL91-05

State of the Program

- NUPIC includes <u>all US nuclear utilities</u>, plus 13 international, and EPRI

- Over 100 NUPIC Audits completed annually, and a number of NUPIC CG Surveys also completed

- NUPIC Audits assigned one year in advance, with the 2020 schedule issued in April; additions are considered at each meeting

- Annual membership dues fund database maintenance for NUPIC, NQML, NIEP, NEI AA, meetings, and multiple administrative costs
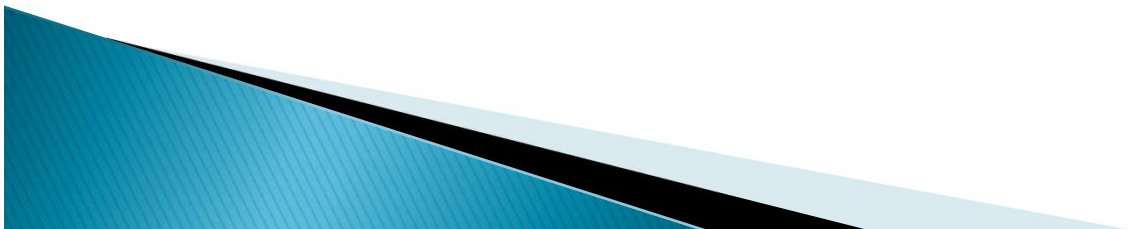
More facts

- Three meetings are held each year,

    1. February – Auditor Training, General Membership

    2. June – Vendor Meeting, General Membership

    3. October – Fuel Conference, Elections, SA Results, Financial Audit, General Membership

- October 7 – 9 in Arlington VA

Assignments

- Audits and Surveys are performed on a 33 month basis; subject to Limited Scope Supplemental Audits at increased frequency

- Preference lists are distributed each Spring for the following year's audit assignment

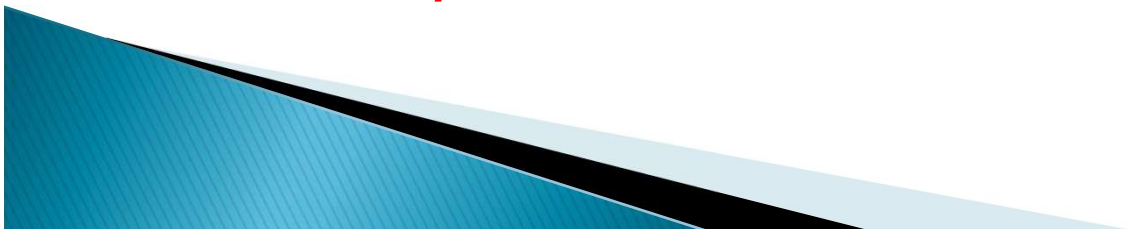- Computer assigns next years audit teams, and lists are distributed at the June Meeting.

Assignments

- Suppliers with 5 Members maintaining them in the NUPIC Database will <u>automatically</u> be assigned a NUPIC Audit Team.

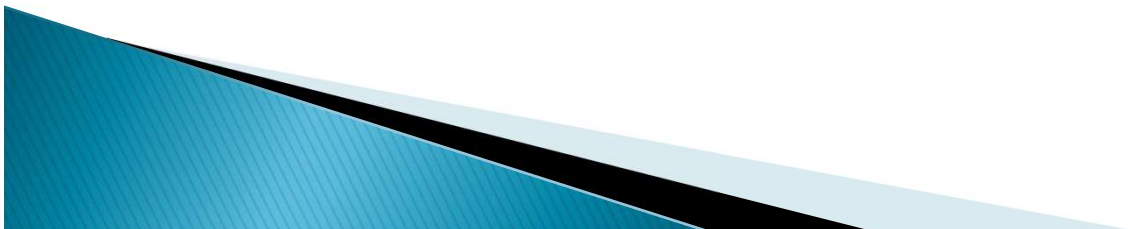- If you want to know whether any utilities currently have you listed, call me. My number is listed on www.NUPIC.com.

Teams

- Depending on scope, typically 3-4 team members, plus 1 technical specialist

- Graded approach utilizes licensee input through the PBSA process

- Performance based attributes drive the audit to observe, rather than review records

- NRC participation and self-assessment (see results on http://www.nrc.gov/reactors/new-reactors/oversight/quality-assurance/nupic-industry.html
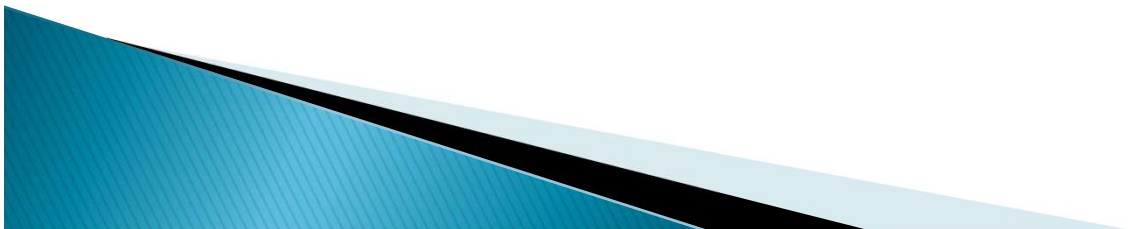
Hosting

- Post your QA Manual on the NUPIC website
- Download a copy of the NUPIC Checklist for a self-assessment
- Request advance input on PO's to be reviewed, scope considerations, schedules
- Coordinate with the team leader to witness live work activities
- Reserve adequate work space for the NUPIC Team

Feedback

▸ Auditors critique themselves

▸ Suppliers are also asked to critique the team

▸ All feedback is welcome, but constructive feedback is the most effective

▸ Written feedback is requested, but a phone call to any Steering Committee Member is always welcome

Continuous Improvement

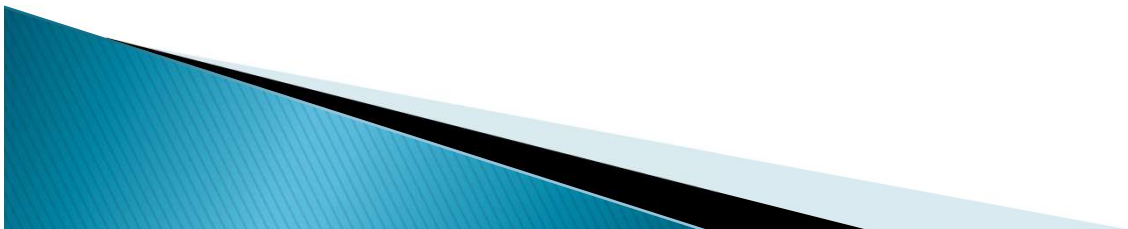- ◦ Self-Assessment
- ◦ Report Card
- ◦ Peer Reviews
- ◦ SCAR Process

Findings and Deficiencies

- DNP
- Findings have impact, and require your response
- Deficiencies have potential, and are expected to be entered into your corrective action program for next audit review.

Checklist Changes

- 28 different line item changes in the current Rev 21

- Multiple checklist formatting changes to encourage more field time – performance based

- Latest checklist may be downloaded from the website by anyone without logging into NUPIC.com

Questions?