



NUPIC

NUCLEAR PROCUREMENT ISSUES CORPORATION

Chair's Message

By: David Soward

“The cooperation between Utilities and Suppliers has not been without challenges. However, both have worked together to ensure employee safety is top priority while meeting commitments to keep suppliers qualified.”

Issue: January 2021

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Chair's Message

Greetings All,

I hope this Newsletter arrives to find all of you safe and well! 2020 was certainly a year of change and challenges.

In the beginning of the COVID-19 Pandemic, NUPIC organized a team of Steering Committee Members and others to monitor and act to address the obstacles that we faced in performing supplier audits. This involved the creation of the NUPIC Recovery Team. This group was tasked with monitoring supplier audit due dates and related schedule changes. The group also monitored Utility travel restrictions as well as key regional restrictions. In addition, NRC regulatory updates including ILAC accreditation were part of the group's dashboard.

This group has worked closely with the NUPIC Team Leads and Suppliers to facilitate and coordinate audit participation to ensure the process continued. The group prepared and presented to the NUPIC membership, a guideline for performing a Hybrid Audit/Survey Approach to provide consistency in Audit planning and execution. This was approved by the membership and continues to be evaluated to ensure effectiveness. The guideline can only be used during exigent conditions and includes restrictions for use. Exigent conditions include, but are not limited to: 1) declaration of a national emergency; 2) severe localized or national weather conditions or damage to licensee or supplier infrastructure; or 3) localized outbreak of a severe health concern to the public and licensee.

In addition, a subcommittee of the NQML (Nuclear Quality Management Leadership) Committee, was created, referred to as VARS (Vendor Audit Relief Subcommittee). This subcommittee included members from the NQML, NUPIC, NEI, and additional support from various other agencies. The VARS subcommittee created a process for reviewing vendor performance and risks associated with vendors that are nearing expiration. The resultant guidance documents were presented to and discussed with the NRC during a public meeting on November 2, 2020. The resultant meeting summary can be seen in NRC document ML20324A216.

Meanwhile EPRI is coordinating an effort to prepare a document to consider the performance of Hybrid/Remote Audits & Surveys **during exigent conditions**. Many members of the NQML, NUPIC, and the Supplier Community participate on this team. Work is progressing to provide a guidance document for performing the Audits using additional tools and planning options during the Pandemic.

As you can see, it has been a busy time in support of the continued availability of parts and services to support our Nuclear Industry. The cooperation between Utilities and Suppliers has not been without challenges. However, both have worked together to ensure employee safety is top priority while meeting commitments to keep suppliers qualified.

I am looking forward to the time when we can reconvene and share information as we have successfully done for the last 30 years. Hope to see you in June in Austin Texas. More details to come! There is light at the end of the tunnel, and it is coming quick. In the meantime, please stay safe and well!



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NUPIC ELECTIONS

During the October 2020 General Membership Meeting, a new Steering Committee was voted on and elected. Serving in 2021 are the following NUPIC Representatives:

Chair – David Soward, Xcel Energy

Vice Chair – Roger Mills, Public Service Electric & Gas

Secretary – Karen Hammond, Next Era Energy

Treasurer – Greg Przyjemski, Talen Energy

Region 1 Representative – Guy Robinson, Entergy

Region 2 Representative – Jon Talbott, TVA

Region 3 Representative – Gerri Hercik, American Electric Power

Region 4 Representative – Earl Mayhorn, Ameren UE

International Representative – Mihai Dudu, Societatea Nuclear-Electrica SA

This Steering Committee will oversee organization activities as we emerge from the pandemic period in 2021 and restore the full agenda of NUPIC processes and activities. Please congratulate these individuals willing to serve in these roles and wish them well in their efforts.

UPCOMING MEETINGS

General Meeting: January 26-28, 2021



NUPIC Steering Committee has agreed to move forward with an in person General Meeting Tuesday-Wednesday, January 26-27 with half day Auditor training on Thursday January 28. The meeting will also be available live virtually via WebEx or MS Teams platform. The meeting will be at the Miami Marriott Biscayne Bay, 1633 N Bayshore Drive, Miami, FL, Phone (305) 374-3900. The reservation link will be sent to NUPIC reps and alternates as well as posted on our website under Future Meetings. The auditor training can be attended in person by those in Miami or virtually via same platform as the general meeting. The afternoon training time was chosen to help address the different North American time zones.



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NUPIC TRAINING COMMITTEE

*By: Diona Russell, Committee Chair
DTE Energy*

Hybrid Training Coming in January

The NUPIC Training Committee is hosting the 2021 NUPIC Auditor Training Session by virtual means on the afternoon of **Thursday, January 28, 2021**. Hybrid training on Thursday will be a half day (4-hour max) with times to be determined based on highest availability among the membership. The NUPIC General Meeting attendees have the option to attend in person. The training will also be available on-line via Live Broadcast (WebEx or MS Teams or similar). Members can access in real time from wherever they are located in the world. Since most auditors have been holding this week open for auditor training, it was felt best to still hold the auditor training the same week. Training topics being reviewed for inclusion into this January Auditor Training include: The 25% grace period and related SERs (SNC compare to Ameren), how are they similar & different; The good vs bad things that are happening on audits due to coronavirus with lessons learned/tips; and Review of NUPIC Hybrid Audit Remote Participation Guidelines

Moving forward, the Training Committee is evaluating additional half day training sessions throughout the year. Contact your utility’s NUPIC representative or one of the NUPIC Training Committee members with training ideas or if you would like to participate with the training committee as a member. Looking forward to “seeing” you all at the 2021 NUPIC Auditor Virtual Training in January!!

Meet the Team - NUPIC Training Committee Chair, Diona Russell, DTE. Members: Al Fonner, AEP; David Breneman, DOM; Duska Jovicic, NEK; Jay Colwell, XEL; Jessica Lemieux, EPRI; Leslie McGinnis-Bensend, XEL; Lisa Brown, EXL; and Saeed Al Ali, UEA.

Additional details for this virtual training session will be made available to all in the next few weeks.



WHY DO WE NEED A TECHNICAL SPECIALIST

*By: John Larson, Nebraska Public Power
(reprinted from the May 2015 Newsletter)*

The last audit I was on, another auditor asked me “Why do we need to have a Technical Specialist?” I told him that the requirement to have a Technical Specialist on NUPIC Audit and Survey Teams was a specific commitment that was made by Utility Executive Officers in the 1990 Industry Document “Comprehensive Procurement Initiative” (CPI). The CPI was the basis for the foundation of NUPIC, and outlined the component parts of the NUPIC Audit and Survey Process. These commitments were in direct response to the NRC’s Procurement Assessment results documented in IN 89-02 and later refined in IN 91-05.

By the late 1980s, audits of vendors by licensees had become programmatic to the point where little direct observation of work activities was being performed. Also, many of the audit teams were staffed by contractors provided by auditing service suppliers. These audit teams lacked personnel with the ability to evaluate technical concerns and as a result vendor performance problems were not always being addressed. Together with the concerns of the supply chain being infiltrated with substandard parts from cancelled units, the stage was set for problems. When some examples were discovered of “desk audits” and audits being performed in motel rooms began to surface, our credibility as vendor auditors had probably hit bottom.

The Comprehensive Procurement Initiative of 1990 made a commitment to increase staffing of audit and survey teams with technically qualified personnel, including “Technical Specialists”. Audits and surveys were directed to be “Performance-Based”, and a method of sharing audit information and performing joint utility audits (NUPIC) be developed. The CPI remains in effect today. If you’d like a copy, google it, or give me a call.



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PERFORMANCE-BASED vs REMOTE ACCESS AUDITING

By: *John Larson*
Nebraska Public Power

I thought I knew all the reasons why we do performance-based auditing; eyes on the work can tell us whether the people qualified for the job are using the correct tools and following the approved procedures. Performance-based auditing provides the best access for an auditor to do what only human beings can do; question everything. But there was something more that I've learned while auditing during this pandemic period. I've learned that the best auditing is through the collegial effort of discussing and debating as a team, focused on the supplier's program, located at the supplier's facility. We all have blind spots that sometimes need a peer to help us identify. Two people listening or observing the same activity will focus on different aspects based on their individual experience.

While examining records remotely shows us results of the work, the process is hidden. Whether the performer was following the procedure or just got lucky is hard to know. Even positive verification that the work was performed by a qualified individual requires a leap of faith. Interviews and work demonstrations are problematic.

The post-pandemic world will no doubt retain some of what we've learned about better use of remote tools in the appropriate manner, but there should always be the need for the questioning of the direct observer in-place where the work is being performed, and for the collegial discussion with their peers that follows. The nuclear industry has learned through the years to be innovative of necessity for reasons of uniqueness of our business. Immense power in the core, residual heat, and potential for radioactive contamination make our business special and unique. Effective independent oversight that is based on firsthand observations in the supplier's facilities is a key component for our continuing success in producing safe and reliable energy.

UPDATE ON THE REVISION TO NEW 14-05a and ILAC PROCESS

By: *Earl R. Mayhorn*
AmerenUE

The Nuclear Regulatory Commission (NRC) recently issued a provisional letter to extend their acceptance of NEI 14-05A, "GUIDELINES FOR THE USE OF ACCREDITATION IN LIEU OF COMMERCIAL GRADE SURVEYS FOR PROCUREMENT OF LABORATORY CALIBRATION AND TEST SERVICES", Rev. 0 and ISO/IEC 17025:2017, "General Requirements for the Competence of Testing and Calibration Laboratories" through June 1, 2021. This was necessary partly because the International Laboratory Accreditation Cooperation (ILAC) extended the ISO/IEC 17025:2005/2017 transition period from November 30, 2020 to June 1, 2021 due to travel restrictions caused by the COVID-19 Pandemic. This extension will ensure all laboratories can complete the transition to the 2017 version of the standard. The extension also necessitated NRC's continued endorsement of both versions of ISO/IEC 17025 through June 1, 2021.

NUPIC/NEI has submitted Revision 1 to NEI 14-05A to the NRC for endorsement. Revision 1 of NEI 14-05A was updated to recognize the 2017 edition of ISO/IEC 17025 as the basis for the ILAC accreditation process and to address other minor editorial changes, clarifications, and adjustments based on operating experience identified subsequent to the NRC's initial endorsement in February 2015.

The NRC is preparing a Safety Evaluation Report (SER) for the recognition of NEI 14-05A, Rev. 1 and ISO/IEC 17025:2017 beyond the ILAC transition period. However, due to some required final reviews and approvals from the NRC's Office of Management & Budget, the SER was not issued before the expiration of the NRC's 2019 Letter which provisionally approved use of NEI 14-05A, Rev. 0 as well their recognition of NEI 14-05A, Rev. 0 through November 30, 2020. The new NRC letter provides continued NRC endorsement of both ISO/IEC 17025:2005, ISO/IEC 17025:2017, and NEI 14-05A, revision 0 until the new SER is issued. The NRC provisional letter can be accessed using the following link:

<https://www.nupic.com/NUPIC/Home/HotTopics.aspx>

The industry will be notified once the NRC issues a SER endorsing NEI 14-05A, Revision 1.



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NRC ENDORSES A 9 MONTH GRACE PERIOD FOR VENDOR AUDITS/SURVEYS

*By: Earl R. Mayhorn
AmerenUE*

In August 2020, the Nuclear Regulatory Commission endorsed a 25% grace (9-month) period for the completion of triennial vendor audits and commercial grade surveys during period of extenuating circumstances such as national emergencies, national health, and other similar circumstances provided the basis for the use of the grace period is documented. The current COVID-19 pandemic qualifies for use of a 9-month grace period. This endorsement was provided through a Safety Evaluation Report (SER) which was issued to Ameren. The SER can be adopted by other licensees and suppliers of basic components. The following points apply to use of such a grace period and must be prescribed in your QA program.

An overall 25% extension (9 months) for triennial audits or surveys may be exercised during periods where performance of such activities is not feasible as a result of extenuating circumstances. Examples of extenuating circumstances would include, but are not limited to: 1) declaration of a national emergency; 2) severe localized or national weather conditions or damage to licensee or supplier infrastructure; or 3) localized outbreak of a severe health concern to the public and licensee. Continued use of suppliers that have exceeded the maximum allowed audit or survey time due to extenuating circumstances is allowed if the following conditions are met:

a. A documented evaluation must be performed to summarize why the audit or survey could not be performed prior to the end of the 90-day grace period and to provide the basis for maintaining the supplier as an approved supplier during the 25% (9-month) grace period. While implementing procedures must describe elements to be included in the documented evaluation, the following items should be considered as applicable:

- For 10 CFR 50, Appendix B suppliers, verification that the supplier's quality assurance program is still committed to meeting the requirements of 10 CFR 50, Appendix B.
- For commercial suppliers who are approved based on commercial grade survey, verification the supplier has maintained adequate documented programmatic controls in place for the activities affecting the critical characteristics of the item/services being procured.
- Evaluation of any significant open issues with the NRC, 10 CFR Part 21 Notifications, and any open findings since the previous triennial audits describing impact on the items/services being procured from that supplier.
- Review of procurement history since last triennial audit/survey including receipt inspection results to identify any potential issues. The results of the performance history must be included in the evaluation.
- The degree of standardization of the items being procured. For instance, suppliers of catalog items which are used across multiple industry with widely accepted good performance histories would be considered good candidates for a 25% (9-month) grace period.

b. If concerns are identified based on the above evaluation, the following mitigating actions may be considered:

- Enhanced receiving inspections beyond visual inspections and quality checks.
- Identification of any additional requirements/restrictions to be placed on the supplier.

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NRC ENDORSES A 9 MONTH GRACE PERIOD FOR VENDOR AUDITS/SURVEYS *(continued from page 5)*

- c. For audits/surveys performed during the 25% grace period, the audit/survey shall include a review of activities performed by the supplier since the 36-month audit/survey expiration date.
- d. The allowance would only apply to existing suppliers on your Qualified Suppliers List.
- e. The 25% grace period discussed above is applicable to domestic and international suppliers.
- f. For audits/surveys performed during the 25% grace period, the audit/survey “clock” does not have to reset backwards to the original expiration date for which the audit/survey should have been performed. The end of the audit or survey would determine the date of the next triennial audit/survey.

Due to the unpredictable nature of the prolonged COVID-19 pandemic, the utilities are currently working on the rollout of a last resort method of maintaining the ability to procure items from suppliers whose audits and surveys expire during the pandemic. This will involve the use of the corrective action program (CAP) process. More information will be communicated on this topic starting early first quarter 2021. Questions can be addressed to emayhorn@ameren.com.

NUPIC VENDOR INTERFACE COMMITTEE (VIC)

By: Jeff Kaar, Committee Chair

Vendor Conference: June 16-17, 2021 – Save the dates for the 2021 NUPIC

Vendor Conference that is currently being planned as an in-person event at the Sheraton Austin Hotel at the Capitol in Austin TX. The hotel is close to the state capitol and various music venues in Austin. Tuesday “Food Truck” mixer is planned on Tuesday night as part of Vendor Conference registration, Wednesday dinner and fun at a local Austin establishment and a spouse’s day trip is being planned to a popular Texas tourist attraction. Registration details will be emailed to NUPIC Representatives, Vendors and past attendees. Updates will be posted on the NUPIC website under FUTURE MEETINGS, then select appropriate date in pull down menu. Meeting topic recommendations or speakers are welcome, send email suggestions to: NUPICfeedback@ethany.com

Additional details for this event will be made available early in 2021.





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Revised 2020-2021 NUPIC Audit-Survey Schedule

REVISED 2020/2021 NUPIC AUDIT/SURVEY SCHEDULE
July 2020 Through June 2021

01/04/2021

NUPIC Supplier No. #	SR/CG/RA	Supplier	State	Will Provide Proc.	Audit No. #	Scheduled Month	Audit Entrance	Audit Exit	Supplier Expiration Date	90 Day Grace Period	25% Grace Period	Lead Utility	ATL	Team Members
Defer by ATL Defer by Supplier/ State Restrict														
Supplier No	If the supplier number is highlighted in Green , this indicates a CHANGE from the previous week.			Supplier No	If the supplier number is highlighted in Red , this indicates that a SWAP request is still UNRESOLVED.									
August 2020														
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Perform Prior to 36 Month Expiration)														
September 2020														
DEFERRED AUDITS/SURVEYS (Perform Past 36 Month Expiration)														
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Perform Prior to 36 Month Expiration)														
October 2020														
DEFERRED AUDITS/SURVEYS (Perform Past 36 Month Expiration)														
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Perform Prior to 36 Month Expiration)														
November 2020														
DEFERRED AUDITS/SURVEYS (Perform Past 36 Month Expiration)														
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Perform Prior to 36 Month Expiration)														
1782	SR	Crane Nuclear (Kennesaw, GA)	GA	Yes	24890	Dec-20	11/30/20		3/2/21	6/2/21	12/2/21	DTE	Diona Russell	DTE,SNC,APS,DPC,TVA
December 2020														
DEFERRED AUDITS/SURVEYS (Perform Past 36 Month Expiration)														
5753	SR	Wesitnghouse Speciality Metals (Blairsville)	PA		24961	Aug-20	12/7/20		8/25/20	11/25/20	5/25/21	DOM	David Koch	AUE,DOM,IBE,PGE
2145	SR	Performance Contracting-Engineered Systems	TX		24925	Feb-20	12/14/20	12/18/20	5/26/20	8/26/20	2/26/21	TVA	Adrienne Hudson	ENT,NEE,STP,XEL
5821	SR	Burns & McDonnell	MO	Yes	24884	Sep-20	Defer		12/7/20	3/7/21	9/7/21	AUE	Earl Mayhorn	BPL,AUE,NPP
2277	SR	WARTSILA - MULHOUSE CEDEX, FR	INT	No	25016	Mar-20	Defer		4/28/20	7/28/20	1/28/21	XEL	David Soward	EXL,VAT,XEL
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Perform Prior to 36 Month Expiration)														
1084	SR	CW Nuclear Anatec	CA		24893	Oct-20	7/12/21		1/11/21	4/11/21	10/11/21	NEE	Karen Hammond	AUE,LUM,WCN
4947	SR	Mass Spec. Services	NY		24915	Nov-20	Defer		2/14/21	5/14/21	11/14/21	LUM	Mark Bednar	AUE,NEE
1125	SR	Fronek-Anchor/Darling	NH		24903	Nov-20	12/14/20		2/23/21	5/23/21	11/23/21	DPC	Deb Loudenslager	DOM,KNP,SNC,TVA
January 2021														
DEFERRED AUDITS/SURVEYS (Perform Past 36 Month Expiration)														
2551	SR	Ultra Electronics	TX		24948	Aug-20	1/11/21		11/17/20	2/17/21	8/17/21	DOM	Dan Boch	AEP,DTE,ENT,XEL
5300	CG	DIACON CORPORATION	ME		24968	Feb-20	1/11/21		5/24/20	8/24/20	2/24/21	ENT	Herbert Mayes	XEL
5584	SR	HILTI CORPORATION (Europe)	INT	No	24907	Feb-20	1/11/21		5/8/20	8/8/20	2/8/21	ENT	Guy Robinson	DOM,ETN,EXL,NEK,VAT,XEL
1163	SR	MEGGER	TX	Yes	24917	Feb-20	1/11/21		5/4/20	8/4/20	2/4/21	EHN	Len Weaver	ENT,NPP,XEL
1297	CG	CHESTERTON, A.W. COMPANY	MA		24967	Mar-20	1/18/21		6/29/20	9/29/20	3/29/21	DPC	Deb Loudenslager	AUE,DOM,STP
1065	CG	Airgas	IL	Yes	24966	Jul-20	1/11/21		10/26/20	1/26/21	7/26/21	AUE	Earl Mayhorn	APS,NPP
5075	SR	ILRT	FL		25080	Feb-21	1/19/21		5/24/21	8/24/21	2/24/22	XEL	Leslie McGinnis-Bensend	EHN,ENT,LUM
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Perform Prior to 36 Month Expiration)														
1436	SR	CW Steam & Air Solutions (Summerville, SC)	SC		25032	Dec-20	1/18/21		NEW	N/A	N/A	WCN		APS,DOM,DPC,ENT,NPP,TVA
2201	SR	PCI Promatec	TX		24924	Dec-20	1/18/21		3/2/21	6/2/21	12/2/21	STP	Dave Whiddon	APS,CFE,DTE,TLN
1801	SR	John Crane (Limited Scope Audit)	IL		25006	Dec-20	1/12/21		8/23/22	N/A	N/A	NEE	Karen Hammond	ENT,EXL,STP
1249	SR	Flowserve Corporation Pump Div.	NC,CA		25067	Jan-21	2/15/21		4/20/21	7/20/21	1/20/22	NPP	Don Green	APS,ENT,NBP,NEK,PGE
4019	SR	General Cable	CT		25077	Jan-21	Defer		3/22/21	6/22/21	12/22/21	AEP	Cindy Larson	AUE,CFE,DPC,EXL,NEC,SNC
2466	SR	Transco Products	IL		25119	Jan-21	Defer		4/13/21	7/13/21	1/13/22	TVA	Adrienne Hudson	APS,DPC,EXL,KNP,NEK,TLN
5820	SR	ValvTechnologies	TX		25127	Jan-21	Defer		4/20/21	7/20/21	1/20/22	EHN	Bill Coll	APS,NEK,TLN,TVA
February 2021														
DEFERRED AUDITS/SURVEYS (Perform Past 36 Month Expiration)														
1374	SR	Crane Nuclear (Bolingbrook, IL)	IL		24889	Dec-20	2/1/20		3/8/21	6/8/21	12/8/21	EXL	Rajan John	AEP,DPC,PSE,TVA
1116	CG	TE Connectivity	PA		24975	Oct-20	2/1/21		1/11/21	4/11/21	10/11/21	DOM	Dan Boch	DOM,DPC,LUM,NEE,NEE
2468	SR	TN AMERICAS LLC	MD,NC	Yes	24945	May-20	2/22/21		8/4/20	11/4/20	5/4/21	EXL	Lisa Brown	DPC,EHN,ENT,NEE,SCE,TLN,WCN,XEL
4301	CG	Panametrics	MA		24971	May-20	2/8/21		8/10/20	11/10/20	5/10/21	DPC	Carrie Wilson	AUE,EXL
1376	SR	SWAGELOK COMPANY	OH		24939	Mar-20	2/1/21		6/30/20	9/30/20	3/30/21	APS	Ed Porto	EHN,ETN,NPP,PGE,STP,TVA
1723	SR	HILTI CORPORATION (North America/Mexico)	OK,MX	No	24908	Feb-20	2/1/21		5/24/20	8/24/20	2/24/21	PGE	Bob Carvel	AUE,CFE,CFE,NEE
5338	CG	SWAGELOK COMPANY	OH		24974	Mar-20	2/1/21		6/26/20	9/26/20	3/26/21	NPP	John Larson	AEP,APS,DTE,XEL
2399	SR	Target Rock	NY	Yes	24940	Jun-20	2/25/21		9/15/20	12/15/20	6/14/21	AUE	Earl Mayhorn	APS,CFE,KNP,NPP,PSE
1598	SR	Reuter-Stokes	OH	Yes	24930	Aug-20	2/22/21		11/17/20	2/17/21	8/17/21	NPP	John Larson	DTE,EHN,EXL,PSE,TVA

REVISED 2020/2021 NUPIC AUDIT/SURVEY SCHEDULE
July 2020 Through June 2021

01/04/2021

NUPIC Supplier No. #	SR/CG/RA	Supplier	State	Will Provide Proc.	Audit No. #	Scheduled Month	Audit Entrance	Audit Exit	Supplier Expiration Date	90 Day Grace Period	25% Grace Period	Lead Utility	ATL	Team Members
Defer by ATL Defer by Supplier/ State Restrict														
Supplier No	If the supplier number is highlighted in Green , this indicates a CHANGE from the previous week.			Supplier No	If the supplier number is highlighted in Red , this indicates that a SWAP request is still UNRESOLVED.									
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Perform Prior to 36 Month Expiration)														
1415	CG	Doble Engineering	MA		24969	Nov-20	2/23/21		4/26/21	7/26/21	1/26/22	DOM	Dan Boch	DPM,ENW
5371	SR	Borax Francais	INT		24882	Dec-20	2/8/21		3/23/21	6/23/21	12/1/21	ENT	Joe Walker	AEP,DPC,EXL,TVA
5937	SR	Red Wolf Associates	NC	Yes	24928	Dec-20	2/1/21		3/22/21	6/22/21	12/22/21	TLN	Gerard Machalick	AEP,SNC
4325	SR	NAMCO (Limited Scope Audit)	NC		25031	Dec-20	2/15/21		9/13/22	N/A	N/A	NEE	Karen Hammond	LUM
1533	SR	Curtiss-Wright EST Group	PA		25051	Feb-21			5/17/21	8/17/21	2/17/22	PSE		BPL,SNC,TLN,TVA
2533	SR	Fluke Electronics	OH		25069	Feb-21	2/22/21		5/11/21	8/11/21	2/11/22	EHN		ENW,LUM,NBP,PSE
4340	SR	Sigma	INT		25113	Feb-21			5/17/21	8/17/21	2/17/22	EXL		DOM,DPC,PGE,XEL
March 2021														
DEFERRED AUDITS/SURVEYS (Perform Past 36 Month Expiration)														
4640	SR	NWS Technologies	SC		25098	Feb-21	3/1/21		5/3/21	8/3/21	2/3/22	SNC	Rich Buechler	LUM,OPG,PSE,WCN
4118	SR	Team Industrial	NC,TX	Yes	24941	Oct-20	3/1/21		11/13/20	2/13/21	8/13/21	EXL	Jay Wingfield	EHN,LUM,NBP,STP
1908	SR	LPI, Inc.	NY	Yes	24914	Jun-20	3/8/21		9/28/20	12/28/20	6/28/21	ENW	Brian Hendel	AEP,DPC,ENT
2276	SR	SP Kinney Engineers	PA	Yes	24933	Aug-20	3/8/21		11/9/20	2/9/21	8/9/21	SNC	Roland Bergeron	DPC,SNC,PSE,TVA
1685	SR	KONECRANES NUCLEAR EQUIPMENT & SERVICES	WI		24912	Apr-20	3/8/21		7/14/20	10/14/20	4/14/21	EXL	Paul J. Macuiba	APS,DTE,LUM,EHN
1355	SR	Conval, Inc.	CT		24888	Jun-20	3/8/21		9/15/20	12/15/20	6/15/21	DOM	Michael Hedden	AEP,BPL,DPC,EHN,EXL,WCN
2263	SR	Rosemount	MN		25107	Jan-21	3/1/21		4/21/21	7/21/21	1/27/22	ENT	Brian Vickery	DTE,ENW,IBE,NPP,VAT,XEL
2185	SR	Precision Surveillance	INT		24926	Dec-20	3/1/21		3/22/21	6/22/21	12/2/21	EXL	Rajan John	AUE,ENT,SNC,WCN
2340	SR	SOR Incorporated	KS		24935	Nov-20	3/8/21		2/16/21	5/16/21	11/16/21	NPP	Jacob Jenek	CFE,EXL,NEE,TVA,WCN
5806	SR	CW Nuclear Canada (Newmarket, ON, Canada)	INT	Yes	24892	Apr-20	3/1/21		7/14/20	10/14/20	4/14/21	EXL	Jay Wingfield	BPL,NBP, NBP,OPG
1978	SR	MPR Associates	VA		25095	Feb-21	3/29/21		5/11/21	8/11/21	2/11/22	TVA	Jessica Hamill	EXL,OPG,PGE,XEL
2414	SR	Technology for Energy	TN		25117	Jan-21	3/15/21		4/26/21	7/26/21	1/26/22	TVA	Jessica Hamill	AEP,ENT,LUM,TLN
1242	SR	Burndy	NH	Yes	24883	Sep-20	3/29/20		12/1/20	3/1/21	9/1/21	EXL	Kevin Buckley	AUE,DOM,NBP,SNC
2571	SR	CW Electro-Mechanical	PA	No	24891	Aug-20	3/22/21		11/17/20	2/17/21	8/17/21	XEL	John Ott	BPL,DPC,ENT,EXL,NEC,OPG,PGE
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Perform Prior to 36 Month Expiration)														
2121	CG	Pall Trinity	1		24976	Nov-20			6/7/21	9/7/21	3/7/22	EHN		ENT,EXL,NBP
1159	SR	ASCO	SC,NJ		25040	Mar-21			6/7/21	9/7/21	3/7/22	AUE		DTE,PGE,PSE,STP
5956	SR	Five Star Products	CT		25065	Mar-21			6/8/21	9/8/21	3/8/22	XEL		EHN,EXL,SNC,WCN
1575	SR	Fluid Components	CA		25068	Mar-21			6/22/21	9/22/21	3/22/22	EXL		BPL,EHN,PGE,STP,TPC
1638	SR	Intergraph Corporation DBA Hexagon	GA		25084	Mar-21	3/29/20		6/28/21	9/28/21	3/28/22	DPC	Jasper Adams	PGE,SNC
4659	SR	Mistras Services	CT		25093	Mar-21			6/29/21	9/29/21	3/29/22	DPC	Debra Loudenslager	AEP,DOM,EXL,NEE,STP,TLN
5365	SR	Pawling Engineered Products	NY		25102	Mar-21			6/22/21	9/22/21	3/22/22	NEE		AEP,CFE,ENT,TLN
4449	SR	SCL Italia SPA	INT		25111	Mar-21	5/17/21		6/28/21	9/28/21	3/28/22	DPC	Jasper Adams	DOM,NEE,NEK
April 2021														
DEFERRED AUDITS/SURVEYS (Perform Past 36 Month Expiration)														
4800	SR	ABB Motors and Mechanical, Inc.	GA	Yes	24875	Jun-20	4/19/21		9/22/20	12/22/20	6/21/21	SNC	Dennis Segres	APS, DPC, IBE, LUM, OPG, SNC,TVA
2041	SR	Nova Machine Products	OH		24922	Nov-20	4/26/21		2/16/21	5/16/21	11/16/21	EHN	Len Weaver	ENW,LUM,NPP,STP,WCN
2629	SR	Zetec (Limited Scope Audit)	WA		25007	Jul-20	4/12/21		7/12/22	N/A	N/A	NEE	Karen Hammond	APS,EXL
1413	SR	Ametek Instrumentation	CO		24877	Oct-20	4/12/21		1/12/21	4/12/21	10/12/21	ENT	Tim Czuba	BPL,DPC,EXL,EHN,SNC
1377	SR	Emerson Automation Solutions	MA		24900	May-20	4/5/21		8/25/20	11/25/20	5/25/21	AEP	Cynthia E. Larson	APS,DPC,NEK,PSE,STP,XEL
1027	SR	ABB Enterprise Software	VA	No	24874	Jul-20	4/5/21		10/20/20	1/20/21	7/20/21	EHN	Bob Thompson	DPC,EXL,OPG,TLN,TVA
1963	SR	Engine Systems	NC		25060	Jan-21	4/12/21		3/23/21	6/23/21	12/23/21	NPP	John Larson	DPC,ENW,IBE,NEK,STP
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Prior to 36 Month Expiration)														
2126	CG	Parker Hannifin - Jacksonville	AL		24973	Nov-20			6/8/21	9/8/21	3/8/22	EXL	Jay Wingfield	EHN,TVA,WCN
1913	CG	Magnaflux	IA		25134	Apr-21			7/19/21	10/19/21	4/19/22	AUE		DOM,EXL
2554	SR	AZZ/WSI	GA		25042	Apr-21			7/13/21	10/13/21	4/13/22	ENW		EXL,NEE,SNC,TVA
1200	SR	Bechtel Power	VA		25044	Apr-21			7/20/21	10/20/21	4/20/22	ENT		DPC,EXL,LUM,SNC,STP,TLN,TVA
1560	SR	Fisher Controls	IA		25064	Apr-21			7/13/21	10/13/21	4/13/22	STP		NBP,NPP,SNN,TVA,WCN
1825	SR	Kalsi Engineering	TX		25088	Apr-21			7/13/21	10/13/21	4/13/22	ENT		LUM,APS,DPC,VAT
2058	SR	Paragon Energy Solutions/NLI	TX		25101	Apr-21	4/26/2021		7/20/21	10/20/21	4/20/22	DPC	Chris Dimmich	BPL,DTE,ENT,STP,NBP,WCN,AUE
1369	SR	Flow America - McKean (Full Scope - Moving Locations)	PA		25161	Apr-20						DPC	Debra Loudenslager	ENT, NPP, STP, DOM (September Meeting)
May 2021														
DEFERRED AUDITS/SURVEYS (Perform Past 36 Month Expiration)														

REVISED 2020/2021 NUPIC AUDIT/SURVEY SCHEDULE
July 2020 Through June 2021

01/04/2021

NUPIC Supplier No. #	SR/CG/RA	Supplier	State	Will Provide Proc.	Audit No. #	Scheduled Month	Audit Entrance	Audit Exit	Supplier Expiration Date	90 Day Grace Period	25% Grace Period	Lead Utility	ATL	Team Members
Defered by ATL Defer by Supplier/ State Restrict														
Supplier No	If the supplier number is highlighted in Green , this indicates a CHANGE from the previous week.			Supplier No	If the supplier number is highlighted in Red , this indicates that a SWAP request is still UNRESOLVED.									
2521	SR	Valcor Engineering	NJ		24950	Aug-20	5/10/21		11/10/20	2/10/21	8/10/21	SNC	Rich Buechler	ENW,ETN,NEE,WCN
1820	SR	Joseph Oat	NJ		24911	Dec-20	5/24/21		3/2/21	6/2/21	12/2/21	PSE	Roger Mills	ENT,EXL,KNP,NEE,TVA
1709	SR	Henry Pratt	IL		24906	Dec-20	5/3/21		3/9/21	6/9/21	12/9/21	DPC	Deb Loudenslager	AEP,CFE,NPP,PSE
2186	SR	Preferred Engineering	CT		25105	Jan-21	5/10/21		4/20/21	7/20/21	1/20/22	ENT	Guy Robinson	ENW,PSE,TLN
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Perform Prior to 36 Month Expiration)														
4484	SR	Continental Field Systems	GA		25050	May-21			8/30/21	11/30/21	5/31/22	PSE		CFE, DOM, EXL, SNC
1503	SR	Energy Steel & Supply	MI		25059	May-21			8/24/21	11/24/21	5/24/22	ENT		DTE,EXL,NBP,TVA,XEL
5157	SR	Farris Engineering	INT		25063	May-21			8/17/21	11/17/21	5/17/22	DOM		BPL,DPC,EXL,OPG,XEL
3057	SR	Flawtech	NC		25066	May-21			8/2/21	11/2/21	5/2/22	AEP		DOM,NPP,OPG
1795	SR	James C. White	SC		25087	May-21			8/16/21	11/16/21	5/16/22	LUM		AEP,DPC,EHN,OPG
4522	SR	Phi-Delta	MA		25103	May-21			8/2/21	11/2/21	5/2/22	EXL		AEP,TLN
2555	SR	Weldstar	IL		25122	May-21			8/30/21	11/30/21	5/30/22	SNC		CFE,LUM,STP
June 2021														
DEFERRED AUDITS/SURVEYS (Perform Past 36 Month Expiration)														
4633	SR	FTI	MD		24904	Dec-20	6/7/21		3/1/21	6/1/21	12/1/21	ENT	Jeff Curry	EXL,LUM,NEE
1787	SR	ITT Engineered Valves	PA		25086	Mar-21	6/7/21		6/22/21	9/22/21	3/22/22	PGE		EHN,IBE,SNC,XEL
2265	SR	Rotork Controls	INT,NY		24931	Nov-20	6/7/2021		2/16/21	5/16/21	11/16/21	NEE	Karen Hammond	APS,DOM,DPC,OPG,XEL
2529	SR	Velan Valve	INT,VT		25121	Apr-21	8/9/2021		7/13/21	10/13/21	4/13/22	EXL	Lisa Brown	APS,DOM,DTE,EHN,KNP,NBP,NEE,PSE,WCN
PREVIOUSLY SCHEDULED AUDITS/SURVEYS (Perform Prior to 36 Month Expiration)														
2393	CG	A2 Research	MS		25129	Jun-21			9/13/21	12/13/21	6/13/22	ENT		APS,LUM
2016	CG	Iron Mountain	PA		25133	Jun-21			9/20/21	12/20/21	6/20/22	EXL		PSE,TVA
1483	SR	Curtiss-Wright Nuclear - Huntsville Division	AL		25054	Jun-21			9/27/21	12/27/21	6/27/22	DTE		AUE,ENW,ETN,VAT,WCN
1457	SR	DRS Consolidated Controls	CT		25058	Jun-21			9/28/21	12/28/21	6/28/22	NEE		DOM,KNP,PSE
2713	SR	EPRI (2 Weeks)	NC,CA		25062	Jun-21			9/13/21	12/13/21	6/13/22	NEE		APS,AUE,ENT,ETN,WCN
1091	SR	Imperia Engineering	MA		25081	Jun-21			9/13/21	12/13/21	6/13/22	EXL		DOM,DPC,LUM,STP,WCN
2054	SR	NUCON International	OH		25096	Jun-21			9/21/21	12/21/21	6/21/22	EHN		DTE,ETN,EXL,NEK,PSE,WCN
2093	SR	Operation Technology	CA		25100	Jun-21			9/13/21	12/13/21	6/13/22	DPC	Carrie Wilson	ENW,PGE,TVA