

Vendor Performance Monitoring Process

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NUPIC Web Page

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NUPIC Home

Welcome to the Nuclear Procurement Issues Committee (NUPIC) Web Site



Formed in 1989 and represented by all Domestic and several International nuclear utilities operating nuclear power plants, NUPIC provides a cost and quality effective program for the evaluation of suppliers furnishing safety related items and services to the Industry. NUPIC Joint Audits and Surveys are performed utilizing an industry-wide standardized approach.

Through the cooperative effort of the NUPIC members, significant benefits are realized, not only by its members, but the entire nuclear industry..

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NUPIC - Vendor Interface Committee

Register for Vendor Performance Monitoring Report

To register for Vendor Performance Monitoring Report click the **Register** Button. If you click the **Register** Button the information will be saved to the database and an e-mail will be sent to the Email address provided for confirmation.

⊖ Indicates Required Field

Contact Name	<input type="text"/>	⊖
Company Name	<input type="text"/>	⊖
Company Address	<input type="text"/>	⊖
Company City	<input type="text"/>	⊖
Company State	<input type="text"/>	⊖
Phone Number	<input type="text"/>	⊖
Email Address	<input type="text"/>	⊖ (Must be a Business/Company E-mail Address)
Confirm Email Address	<input type="text"/>	⊖
User Name	<input type="text"/>	⊖
Confirm User Name	<input type="text"/>	⊖
Password	<input type="text"/>	⊖
Confirm Password	<input type="text"/>	⊖

Opening page home_vendor_reg.asp at www.nupic.com ...

Internet

VPM Report Data for Supplier XYZ Industry

SQPDT

Last 12 Months

Supplier #	SR/PR	Supplier Name	City	ST	Audit Date	Users	Plants	Total #	% Def	Audit Findings	CA Timeliness	NRC Insp.	NUPIC Industry Issues	OE and Part 21
9999	SR/PR	XYZ Industry	IRVIN	CA	05/20/2011	23	7	252	17.4	11	5	None	5	1

Rating Criteria

NUPIC /Green Vendor – No Red or Yellow Criteria, up to 3 White Allowed

NUPIC /White Vendor – No Red Criteria, up to 3 Yellow Allowed

NUPIC /Yellow Vendor – No more than one Red Criteria

NUPIC /Red Vendor – More than one Red Criteria

Receipt Inspection Results

(25 lots in last 12 months with 15% or more deficient)
(Not all Utilities Participate in SQPDT)

- Green; less than 10% error rate
- White; 10% to 14.9% error rate
- Yellow; 15% to 24.9% error rate
- Red; 25% or more error rate

This performance window requires some research with the entering utility due to limited participation

NUPIC Audit Findings (Last 3 years)

- Green; 3 findings or less
- White; 4 to 6 findings
- Yellow; 7 to 9 findings
- Red; 10 or more findings
- **Any significant notification advances window two colors**

The number of findings are updated from data entered into the database

Timeliness of Corrective Action Verification

- Green; less than 90 days
- White; 90-179 days
- Yellow; 180-364 days
- Red; 365 or more days

NRC Inspection Results (last 3 years)

- Green; no Notice of Violation or Notice of Nonconformance
- White; 1 Notice of Violation or Notice of Nonconformance
- Yellow; 2 Notice of Violation or Notice of Nonconformance
- Red; 3 Notice of Violation or Notice of Nonconformance

NUPIC Industry Issues (last Year)

- Green; 0 issues
- White; 1 issues
- Yellow; 2 issues
- Red; 3 or more issues

Industry issues are updated directly from the database. All issues entered should have been discussed with Vendor

INPO Operating Experience and 10CFR21 Reports (last year)

- Green; 0 issues
- White; 1 issues
- Yellow; 2 issues
- Red; 3 or more issues

This is researched and entered manually into the NUPIC Database by the VPMC

VPMC Review and Verifications

SQPDT

Last 12 Months

Supplier #	SR/ PR	Supplier Name	City	ST	Audit Date	Users	Plants	Total #	% Def	Audit Findings	CA Timeliness	NRC Insp.	NUPIC Industry Issues	OE and Part 21
9999	SR/ PR	XYZ Industry	IRVIN	CA	05/20/2011	23	7	252	17.4	11	5	None	5	1

Based on this example the overall rating criteria for this supplier would be Red. In this case, the VPMC will review red windows for further clarification. Example 11 Audit Findings are listed over a 3 year period – review indicates this window represents two audits.

2009 7 Findings were identified – Yellow Window

2011 4 Findings were identified – White Window (Improving Trend)

VPMC would not recommend a LSA based on the Audit Finding Window

Audit Team Recommends LSA

Vendor performance Committee
Recommends LSA

NUPIC Members Vote

YES / No

What is an LSA?

- Limited Scope Audit – A supplemental audit scheduled outside the normal NUPIC Audit Frequency, focused at specific performance deficiencies. Normally, NUPIC will look at scheduling within 15 months form the last audit. VPMC recommendations may be different.

Vendor Performance monitoring Summary

Total number of vendors on Monitoring report
308

Green rating	180 Supplier	58%
White Rating	58 Suppliers	19%
Yellow Rating	59 Suppliers	19%
Red Rating	11 Suppliers	4%

NUPIC VPM Trend Red/yellow

	June	Oct.	Feb.	June	Oct.	Feb.	June	Oct.	Feb.
	2013	2012	2013	2013	2013	2014	2014	2014	2015
Red	15	17	19	20	18	13	17	12	11
Yellow	57	65	60	56	52	55	53	60	59

NUPIC Findings – Top Trends

- **Records/Document Control**
2012 – 9.85% 2013 – 10.05% 2014 – 9.26% **2015 – 7.21%**
- **Programmatic/Other Problems-Audits**
2012 – 7.74% 2013 – 8.18% 2014 – 8.89% **2015 – 10.36%**
- **Failure to Follow Procedure**
2012 – 5.91% 2013 – 5.96% 2014 – 6.17% **2015 – 7.21%**
- **Commercial Grade Dedication-Inadequate Procedure**
2012 – 5.77% 2013 – 4.43% 2014 – 3.63% **2015 – 6.31%**
- **Nonconformance/Corrective Action – Failure to Follow Procedure**
2012 – 4.08% 2013 – 4.77% 2014 – 4.17% **2015 -6.31%**

A blue-tinted photograph of a vast ocean under a cloudy sky. The text "QUESTIONS??" is centered in white.

QUESTIONS??