Vendor Performance Monitoring Process

John Louwers - DTE
Jeff Wright-Entergy
Rajan John-Exelon

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Good Morning ! | | Nuclear Procurement Issues Committee - Version: 11

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Register for Vendor Performance Monitoring Report

[Register]

To register for Vendor Performance Monitoring Report click the Register Button. If you click the Register Button the information will be saved to the database and an e-mail will be sent to the Email address provided for confirmation.

🔴 Indicates Required Field

Contact Name   🔴
Company Name   🔴
Company Address   🔴
Company City   🔴
Company State   🔴
Phone Number   🔴
E-mail Address   🔴 (Must be a Business/Company E-mail Address)
Confirm E-mail Address   🔴
User Name   🔴
Confirm User Name   🔴
Password   🔴
Confirm Password   🔴

Opening page home_vendor_reg.asp at www.nupic.com ...   Internet

VPM Report Data for Supplier XYZ Industry

								SQPDT						
								Last 12 Months						
Supplier #	SR/ PR	Supplier Name	City	ST	Audit Date	Users	Plants	Total #	% Def	Audit Findings	CA Timeliness	NRC Insp.	NUPIC Industry Issues	OE and Part 21
9999	SR/ PR	XYZ Industry	IRVIN	CA	05/20/2011	23	7	252	17.4	11	5	None	5	1

Rating Criteria

NUPIC /Green Vendor – No Red or Yellow Criteria, up to 3 White Allowed

NUPIC /White Vendor – No Red Criteria, up to 3 Yellow Allowed

NUPIC /Yellow Vendor – No more than one Red Criteria

NUPIC /Red Vendor – More than one Red Criteria

Receipt Inspection Results

(25 lots in last 12 months with 15% or more deficient)

(Not all Utilities Participate in SQPDT)

- Green; less than 10% error rate
- White; 10% to 14.9% error rate
- Yellow; 15% to 24.9% error rate
- Red; 25% or more error rate

This performance window requires some research with the entering utility due to limited participation

NUPIC Audit Findings
(Last 3 years)

- Green; 3 findings or less

- White; 4 to 6 findings

- Yellow; 7 to 9 findings

- Red; 10 or more findings

- **Any significant notification advances window two colors**

The number of findings are updated from data entered into the database

Timeliness of Corrective Action Verification

- Green; less than 90 days
- White; 90-179 days
- Yellow; 180-364 days
- Red; 365 or more days

NRC Inspection Results
(last 3 years)

- Green; no Notice or Violation or Notice of Nonconformance
- White; 1 Notice of Violation or Notice of Nonconformance
- Yellow; 2 Notice of Violation or Notice of Nonconformance
- Red; 3 Notice of Violation or Notice of Nonconformance

NUPIC Industry Issues
(last Year)

- Green; 0 issues
- White; 1 issues
- Yellow; 2 issues
- Red; 3 or more issues

Industry issues are updated directly from the database. All issues entered should have been discussed with Vendor

INPO Operating Experience and 10CFR21 Reports (last year)

- Green; 0 issues
- White; 1 issues
- Yellow; 2 issues
- Red; 3 or more issues

This is researched and entered manually into the NUPIC Database by the VPMC

VPMC Review and Verifications

Supplier #	SR/PR	Supplier Name	City	ST	Audit Date	Users	Plants	Total #	% Def	Audit Findings	CA Timeliness	NRC Insp.	NUPIC Industry Issues	OE and Part 21
							SQPDT Last 12 Months							
9999	SR/PR	XYZ Industry	IRVIN	CA	05/20/2011	23	7	252	17.4	11	5	None	5	1

Based on this example the overall rating criteria for this supplier would be Red. In this case, the VPMC will review red windows for further clarification.   Example 11 Audit Findings are listed over a 3 year period – review indicates this window represents two audits.

2009 7 Findings were identified – Yellow Window
2011 4 Findings were identified – White Window   (Improving Trend)

VPMC would not recommend a LSA based on the Audit Finding Window

Audit Team Recommends LSA

Vendor performance Committee Recommends LSA

NUPIC Members Vote
YES / No

What is an LSA?

- Limited Scope Audit – A supplemental audit scheduled outside the normal NUPIC Audit Frequency, focused at specific performance deficiencies. Normally, NUPIC will look at scheduling within 15 months form the last audit. VPMC recommendations may be different.

Vendor Performance monitoring Summary

Total number of vendors on Monitoring report 308

Green rating	180 Supplier	58%
White Rating	58 Suppliers	19%
Yellow Rating	59 Suppliers	19%
Red Rating	11 Suppliers	4%

NUPIC VPM Trend Red/yellow

	June 2013	Oct. 2012	Feb. 2013	June 2013	Oct. 2013	Feb. 2014	June 2014	Oct. 2014	Feb. 2015
Red	15	17	19	20	18	13	17	12	11
Yellow	57	65	60	56	52	55	53	60	59

NUPIC Findings – Top Trends

- **Records/Document Control**
- 2012 – 9.85%   2013 – 10.05%   2014 – 9.26%   **2015 – 7.21%**
- **Programmatic/Other Problems-Audits**
- 2012 – 7.74%   2013 – 8.18%   2014 – 8.89%   **2015 – 10.36%**
- **Failure to Follow Procedure**
- 2012 – 5.91%   2013 – 5.96%   2014 – 6.17%   **2015 – 7.21%**
- **Commercial Grade Dedication-Inadequate Procedure**
- 2012 – 5.77%   2013 – 4.43%   2014 – 3.63%   **2015 – 6.31%**
- **Nonconformance/Corrective Action – Failure to Follow Procedure**
- 2012 – 4.08%   2013 – 4.77%   2014 – 4.17%   **2015 -6.31%**

QUESTIONS??